

Patient Safety Component—Annual Facility Survey for IRF

Instructions for this form are available at: <http://www.cdc.gov/nhsn/forms/instr/TOI-57.151-IRF.pdf>

*required for saving

Tracking #:

Facility ID:

*Survey Year:

Facility Characteristics (completed by Infection Preventionist)

*Ownership (check one):

☐ For profit

☐ Not for profit, including church

☐ Government

☐ Veterans Affairs

*Affiliation (check one):

☐ Hospital System

☐ Independent

☐ Multi-facility organization (specialty hospital network)

*How would you describe your licensed inpatient rehabilitation facility? (check one)

☐ Free-standing

☐ Healthcare facility based

In the previous calendar year, indicate the following counts for the Rehabilitation Facility:

*Total number of rehab beds: _____

*Average daily census: _____

*Number of patient days: _____

*Average length of stay: _____

*Number of airborne infection isolation room (AIIR) beds: _____

*Indicate the number of admissions with the primary diagnosis for each of the following rehabilitation categories (must sum to the total number of admissions listed below)

a. Traumatic spinal cord dysfunction: _____

b. Non-traumatic spinal cord dysfunction: _____

c. Stroke: _____

d. Brain dysfunction (non-traumatic or traumatic): _____

e. Other neurologic conditions (for example, multiple sclerosis, Parkinson's disease, etc.): _____

f. Orthopedic conditions (incl. fracture, joint replacement, other): _____

g. All other admissions: _____

*Total number of admissions: _____

*Number of admissions on a ventilator: _____

*Number of pediatric (≤ 18 years old) admissions: _____

Assurance of Confidentiality: The voluntarily provided information obtained in this surveillance system that would permit identification of any individual or institution is collected with a guarantee that it will be held in strict confidence, will be used only for the purposes stated, and will not otherwise be disclosed or released without the consent of the individual, or the institution in accordance with Sections 304, 306 and 308(d) of the Public Health Service Act (42 USC 242b, 242k, and 242m(d)). CDC 57.151 (Front). Rev 11, v14.0

Public reporting burden of this collection of information is estimated to average 84 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Officer, 1600 Clifton Rd., MS H21-8, Atlanta, GA 30333, ATTN: PRA (0920-0666)

Facility Microbiology Laboratory Practices (completed with input from Microbiology Laboratory Lead)

*1. Does your facility have its own on-site laboratory that performs antimicrobial bacterial susceptibility testing? ☐ Yes ☐ No

1a. If No, where is your facility's antimicrobial susceptibility testing performed? (check one)

☐ Affiliated medical center ☐ Commercial referral laboratory ☐ Other local/regional, non-affiliated reference laboratory

1b. If Yes, do you also send out any antimicrobial susceptibility testing (check one) ☐ Yes ☐ No

*2. For *Enterobacterales*, *Pseudomonas aeruginosa* and/or *Acinetobacter baumannii* complex, indicate which methods are used for:

- (1) Primary susceptibility testing and
 (2) Secondary, supplemental, or confirmatory testing (if performed).

If your laboratory does not perform susceptibility testing, indicate the methods used at the outside laboratory.
Use the testing codes listed below the table.

(1) Primary	(2) Secondary	Comments
_____	_____	_____
1 = Kirby-Bauer disk diffusion	4 = ThermoFischer/Sensititre	7 = Gradient Diffusion Strip (e.g. Etest, Liofilchem)
2 = bioMérieux/Vitek	5 = Beckman Coulter/MicroScan	8 = Send out test, method not known
3 = BD Phoenix	6 = Selux Diagnostics	9 = Other (describe in the Comments section)

*3. Does either the primary or secondary/supplemental antimicrobial susceptibility testing (AST) include the following (check all that apply):

Drug	Tested	Not Tested
Cefiderocol	☐	☐
Ceftazidime-Avibactam	☐	☐
Ceftolozane-Tazobactam	☐	☐
Eravacycline	☐	☐
Plazomicin	☐	☐
Imipenem-Relebactam	☐	☐
Meropenem-Vaborbactam	☐	☐
Aztreonam-Avibactam	☐	☐
Sulbactam-Durlobactam	☐	☐

Facility Microbiology Laboratory Practices (continued)

- *4. Has the laboratory implemented revised breakpoints recommended by CLSI for the following:
- a. Third-Generation Cephalosporin and monobactam (that is, aztreonam) breakpoints for *Enterobacterales* in 2010 ☐ Yes ☐ No
 - b. Carbapenem breakpoints for *Enterobacterales* in 2010 ☐ Yes ☐ No
 - c. Ertapenem breakpoints for *Enterobacterales* in 2012 ☐ Yes ☐ No
 - d. Carbapenem breakpoints for *Pseudomonas aeruginosa* in 2012 ☐ Yes ☐ No
 - e. Fluoroquinolone breakpoints for *Pseudomonas aeruginosa* in 2019 ☐ Yes ☐ No
 - f. Fluoroquinolone breakpoints for *Enterobacterales* in 2019 ☐ Yes ☐ No
 - g. Aminoglycoside breakpoints for *Enterobacterales* in 2023 ☐ Yes ☐ No
 - h. Aminoglycoside breakpoints for *Pseudomonas aeruginosa* in 2023 ☐ Yes ☐ No
 - i. Piperacillin-tazobactam breakpoints for *Pseudomonas aeruginosa* in 2023 ☐ Yes ☐ No
 - j. Piperacillin-tazobactam breakpoints for *Enterobacterales* in 2022 ☐ Yes ☐ No
- *5. Does the laboratory test bacterial isolates for presence of a carbapenemase? (this does not include automated testing instrument expert rules) ☐ Yes ☐ No
- 5a. If Yes, indicate what is done if carbapenemase production is detected: (check one)
- ☐ Change susceptible carbapenem results to resistant
 - ☐ Report carbapenem MIC results without an interpretation
 - ☐ No changes are made in the interpretation of carbapenems, the test is used for epidemiological or infection control practices
- 5b. If Yes, which test is routinely performed to detect carbapenemase: (check all that apply)
- | | | |
|---|-----------------------------------|--|
| ☐ Nucleic Acid Amplification Test (PCR, Cepheid, etc.) | ☐ mCIM/CIM | ☐ NG-Test Carba-5 (or other lateral flow assay) |
| ☐ Modified Hodge Test | ☐ Carba NP | ☐ Other _____ |
- 5c. If Yes, which of the following are routinely tested for the presence of carbapenemases: (check all that apply)
- ☐ *Enterobacterales* spp.
 - ☐ *Pseudomonas aeruginosa*
 - ☐ *Acinetobacter baumannii*
- *6. Does your facility use commercial or laboratory developed tests for rapid molecular detection of antimicrobial resistance markers in bacterial bloodstream infections? Examples of commercially available systems include BioFire FilmArray, Luminex Verigene, etc.
- ☐ Yes
 - ☐ No [if checked, skip questions 7 and 8]

Facility Microbiology Laboratory Practices (continued)

6a. If Yes, which test panel(s) does your facility use? (check all that apply)

- ☐ Accelerate PhenoTest BC
- ☐ BioFire FilmArray BCID
- ☐ BioFire FilmArray BCID II
- ☐ Cepheid Xpert MRSA/SA BC
- ☐ GenMark ePlex BCID-GP
- ☐ GenMark ePlex BCID-GN
- ☐ GenMark ePlex BCID-FP
- ☐ Luminex Verigene BC-GP
- ☐ Luminex Verigene BC-GN
- ☐ MALDI-TOF MS directly from positive blood culture (e.g., SepsiTyper)
- ☐ MALDI-TOF MS based antimicrobial resistance detection
- ☐ T2Biosystems T2Resistance
- ☐ Other Commercial Test(s) (Leave Comment) _____
- ☐ Other Laboratory Developed Test(s) (Leave Comment) _____

*7. In a scenario where the *mecA* resistance marker and *Staphylococcus aureus* are detected by rapid molecular testing in a blood specimen, select the procedure(s) your facility conducts. (check one)

- ☐ Our laboratory does not perform *mecA* testing using rapid molecular methods. [If checked, skip question 7a.]
- ☐ Culture based phenotypic antimicrobial susceptibility testing is not performed. [If checked, skip question 7a.]
- ☐ Culture based phenotypic antimicrobial susceptibility testing is performed. A text indicating results of the corresponding rapid molecular testing and/or the interpretation of the rapid molecular testing result is added to the phenotypic test result.
- ☐ Culture based phenotypic antimicrobial susceptibility testing is performed. No text indicating corresponding rapid molecular testing and/or interpretation is added.

7a. If both rapid molecular and culture based phenotypic antimicrobial susceptibility testing are performed for a blood specimen to detect drug resistance in *Staphylococcus aureus*, and discordance is found between their results, how are results reported? (check one)

- ☐ Further testing is not pursued. Results are reported separately.
- ☐ Further testing is not pursued. The phenotypic result is overridden by the rapid molecular test result when an antimicrobial resistance marker is detected.
- ☐ Further testing is performed to identify the reason for the discordance. Results are modified based on the further analysis.

*8. In a scenario where the *bla_{CTX-M}* (CTX-M) resistance marker and *Escherichia coli* are detected by rapid molecular testing in a blood specimen, select the procedure(s) your facility conducts. (check one)

- ☐ Our laboratory does not perform *bla_{CTX-M}* (CTX-M) testing using rapid molecular methods. [If checked, skip questions 8a]
- ☐ Culture based phenotypic antimicrobial susceptibility testing is not performed. [If checked, skip question 8a.]
- ☐ Culture based phenotypic antimicrobial susceptibility testing is performed. A text indicating results of the corresponding rapid molecular testing and/or the interpretation of the rapid molecular testing result is added to the phenotypic test result.
- ☐ Culture based phenotypic antimicrobial susceptibility testing is performed. No text indicating corresponding rapid molecular testing and/or interpretation is added.

Facility Microbiology Laboratory Practices (continued)

8a. If both rapid and culture based phenotypic antimicrobial susceptibility testing are performed for a blood specimen to detect drug resistance in *Escherichia coli* and discordance is found between their results, how are results reported? (check one)

- ☐ Further testing is not pursued. Results are reported separately.
- ☐ Further testing is not pursued. The phenotypic result is overridden by the rapid molecular test result when an antimicrobial resistance marker is detected.
- ☐ Further testing is performed to identify the reason for the discordance. Results are modified based on the further analysis.

*9. Where is yeast identification performed for specimens collected at your facility? (check one)

- ☐ On-site laboratory
- ☐ Affiliated medical center
- ☐ Commercial referral laboratory
- ☐ Other local/regional, non-affiliated reference laboratory
- ☐ Yeast identification not available (specifically, yeast identification is not performed onsite or at any affiliate/commercial/other laboratory) [If checked, skip questions 10-14]

Answer questions 10-14 for the laboratory that performs yeast identification for your facility:

*10. Which of the following methods are used for yeast identification? (check all that apply)

- | | |
|--|--|
| ☐ MALDI-TOF MS System (Vitek MS) | ☐ MicroScan |
| ☐ MALDI-TOF MS System (Bruker Biotyper) | ☐ Non-automated Manual Kit (for example, API 20C, RapID, Germ Tube, PNA-FISH, etc.) |
| ☐ Vitek-2 | ☐ DNA sequencing |
| ☐ BD Phoenix | ☐ Other (specify): _____ |

*11. Does the laboratory routinely use chromogenic agar for the identification or differentiation of *Candida* isolates?

- ☐ Yes ☐ No ☐ Unknown

*12. *Candida* isolated from which of the following body sites are usually fully identified to the species level? (check all that apply)

- | | |
|--|---|
| ☐ Blood | ☐ Respiratory |
| ☐ Other normally sterile body site (for example, CSF) | ☐ Other (specify): _____ |
| ☐ Urine | ☐ None are fully identified to the species level |

*13. Does the laboratory employ any PCR molecular tests to identify *Candida* from blood specimens?

- ☐ Yes ☐ No ☐ Unknown

13a. If Yes, which PCR molecular tests are used to identify *Candida* from blood specimens?

- ☐ T2Candida Panel
- ☐ BioFire BCID
- ☐ GenMark ePlex BCID
- ☐ Other, specify: _____
- ☐ Unknown

Facility Microbiology Laboratory Practices (continued)

13b. If yes and you get a positive result, does this lab culture the blood to obtain an isolate?

- ☐ Yes, always
☐ Yes, with clinical order
☐ No
☐ Unknown

*14. Where is antifungal susceptibility testing (AFST) performed for specimens collected at your facility? (check one)

- ☐ On-site laboratory
☐ Other local/regional, non-affiliated reference laboratory
☐ Affiliated medical center
☐ AFST not available (specifically, AFST is not performed onsite or at any affiliate/commercial/other laboratory) [if selected, skip questions 15 -19]
☐ Commercial reference laboratory

Answer questions 15-19 for the laboratory that performs AFST for your facility:

*15. What methods are used for antifungal susceptibility testing (AFST), **excluding Amphotericin B**? (check all that apply)

- ☐ Broth microdilution with laboratory developed plates
☐ YeastOne (Thermo Scientific™ Sensititre™)
☐ Gradient diffusion (E test)
☐ Vitek (bioMérieux)
☐ Other (specify): _____
☐ Unknown

*16. What methods are used for antifungal susceptibility testing (AFST) of **Amphotericin B**? (check all that apply)

- ☐ Broth microdilution with laboratory developed plates
☐ YeastOne (Thermo Scientific™ Sensititre™)
☐ Gradient diffusion (E test)
☐ Vitek (bioMérieux)
☐ Other (specify): _____
☐ Unknown

*17. AFST is performed for which of the following antifungal drugs? (check all that apply)

- ☐ Fluconazole
☐ Voriconazole
☐ Itraconazole
☐ Posaconazole
☐ Micafungin
☐ Anidulafungin
☐ Caspofungin
☐ Amphotericin B
☐ Flucytosine
☐ Other, specify: _____
☐ Unknown

*18. AFST is performed on fungal isolates in which of the following situations? (check only one box per row)

	Performed automatically	Performed with a clinician's order	Not performed	Unknown
Blood	☐	☐	☐	☐
Other normally sterile body site (for example, CSF)	☐	☐	☐	☐
Urine	☐	☐	☐	☐
Respiratory	☐	☐	☐	☐
Other (specify): _____	☐	☐	☐	☐

*19. Is this laboratory developing antibiograms or other reports to track susceptibility trends for *Candida* spp. isolates tested in this laboratory?

- ☐ Yes
☐ No
☐ Unknown

Facility Microbiology Laboratory Practices (continued)

*20. What is the primary testing method for *C. difficile* used most often by your facility's laboratory or the outside laboratory where your facility's testing is performed? (check one)

- ☐ Enzyme immunoassay (EIA) for toxin
- ☐ Cell cytotoxicity neutralization assay
- ☐ Nucleic acid amplification test (NAAT) (for example, PCR, LAMP)
- ☐ NAAT plus EIA, if NAAT positive (2-step algorithm)
- ☐ Glutamate dehydrogenase (GDH) antigen plus EIA for toxin (2-step algorithm)
- ☐ GDH plus NAAT (2-step algorithm)
- ☐ GDH plus EIA for toxin, followed by NAAT for discrepant results
- ☐ Toxigenic culture (*C. difficile* culture followed by detection of toxins)
- ☐ Other (specify): _____

*21. Which of the following methods serve as the primary method used for bacterial identification at your facility? (check one)

- ☐ MALDI-TOF MS System (Vitek MS)
- ☐ MALDI-TOF MS System (Bruker Biotyper)
- ☐ Automated Instrument (for example, Vitek, MicroScan, Phoenix, etc.)
- ☐ Non-automated Manual Kit (for example, API 20C, biochemicals)
- ☐ Rapid Identification (for example, NAAT/PCR, Gene Xpert, etc.)
- ☐ 16S rRNA Sequencing
- ☐ Other (specify): _____
- ☐ None

*22. Which of the following methods serve as the secondary or backup method used for bacterial identification at your facility? (for example, a secondary method if the primary method fails to give an identification, or if the primary method is unavailable). (check one)

- ☐ MALDI-TOF MS System (Vitek MS)
- ☐ MALDI-TOF MS System (Bruker Biotyper)
- ☐ Automated Instrument (for example, Vitek, MicroScan, Phoenix, etc.)
- ☐ Non-automated Manual Kit (for example, API 20C, biochemicals)
- ☐ Rapid Identification (for example, NAAT/PCR, Gene Xpert, etc.)
- ☐ 16S rRNA Sequencing
- ☐ Other (specify): _____
- ☐ None

Infection Control Practices (completed with input from Hospital Epidemiologist and/or Quality Improvement Coordinator)

*23. Number or fraction of infection preventions (IPs) in facility:

- a. Total hours per week performing surveillance: _____
- b. Total hours per week for infection control activities other than surveillance: _____

Infection Control Practices (continued)

*24. Is it a policy in your facility that patients infected or colonized with MRSA are routinely placed in contact precautions while these patients are in your facility? (check one)

- ☐ Yes
- ☐ No
- ☐ Not applicable: my facility never admits these patients

24a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):

- ☐ All infected and all colonized patients
- ☐ Only all infected patients
- ☐ Only infected or colonized patients with certain characteristics (check all that apply)
 - ☐ Patients admitted to high risk settings
 - ☐ Patients at high risk for transmission

*25. Is it a policy in your facility that patients infected or colonized with VRE are routinely placed in contact precautions while these patients are in your facility? (check one)

- ☐ Yes
- ☐ No
- ☐ Not applicable: my facility never admits these patients

25a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):

- ☐ All infected and all colonized patients
- ☐ Only all infected patients
- ☐ Only infected or colonized patients with certain characteristics (check all that apply)
 - ☐ Patients admitted to high risk settings
 - ☐ Patients at high risk for transmission

*26. Is it a policy in your facility that patients infected or colonized with CRE (regardless of confirmatory testing for carbapenemase production) are routinely placed in contact precautions while these patients are in your facility? (check one)

- ☐ Yes
- ☐ No
- ☐ Not applicable: my facility never admits these patient

26a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):

- ☐ All infected and all colonized patients
- ☐ Only all infected patients
- ☐ Only infected or colonized patients with certain characteristics (check all that apply)
 - ☐ Patients admitted to high risk settings
 - ☐ Patients at high risk for transmission

Infection Control Practices (continued)

*27. Is it a policy in your facility that patients infected or colonized with suspected or confirmed ESBL-producing or extended spectrum cephalosporin resistant *Enterobacterales* are routinely placed in contact precautions while these patients are in your facility? (check one)

- ☐ Yes
- ☐ No
- ☐ Not applicable: my facility never admits these patients

27a. If Yes, check the type of patients that are routinely placed in contact precautions while in your facility (check one):

- ☐ All infected and all colonized patients
- ☐ Only all infected patients
- ☐ Only infected or colonized patients with certain characteristics (check all that apply)
 - ☐ Patients admitted to high risk settings
 - ☐ Patients at high risk for transmission

*28. Does your facility routinely perform screening testing (culture or non-culture) for CRE? *This includes screening for patients at your facility performed by public health laboratories and commercial laboratories.*

☐ Yes ☐ No

28a. If Yes, in which situations does the facility routinely perform screening testing for CRE? (check all that apply)

- ☐ Surveillance testing at admission for all patients
- ☐ Surveillance testing of epidemiologically-linked patients of newly identified CRE patients (for example, roommates)
- ☐ Surveillance testing at admission of high-risk patients (for example, admitted from LTAC or LTCF)
- ☐ Surveillance testing at admission of patients admitted to high-risk setting (for example, ICU)
- ☐ Surveillance testing of all patients in the facility or in a specific high-risk settings (for example, ICU) at pre-specified intervals (for example, weekly point prevalence survey)
- ☐ Other (specify): _____

*29. Does the facility routinely perform screening testing (culture or non-culture) for *Candida auris*? *This includes screening for patients at your facility performed by public health laboratories and commercial laboratories.*

☐ Yes ☐ No

Infection Control Practices (continued)

29a. If Yes, in which situations does the facility routinely perform screening testing for *Candida auris*? (check all that apply)

- ☐ Surveillance testing at admission for all patients
- ☐ Surveillance testing of epidemiologically-linked patients of newly identified *Candida auris* patients (for example, point prevalence surveys in response to a case, patients in the same room or unit as a case)
- ☐ Surveillance testing at admission of high-risk patients (check all that apply)
 - ☐ Patients admitted from long-term acute care (LTAC) or long-term care facility (LTCF)
 - ☐ Patients with recent (for example, within 6 months) overnight hospital stay outside the United States
 - ☐ Patients admitted to high-risk settings (for example, ICU)
 - ☐ Other (specify): _____
- ☐ Surveillance testing of all patients in the facility or in a specific high-risk settings (for example, ICU) at pre-specified intervals (for example, weekly point prevalence survey)
- ☐ Other (specify): _____

29b. If Yes, what method is routinely used by the lab conducting *Candida auris* testing of screening swabs from your facility?

- ☐ Culture-based methods
- ☐ PCR
- ☐ Other (specify): _____

*30. Does the facility routinely perform screening testing (culture or non-culture) for MRSA for any patients admitted?

☐ Yes ☐ No

30a. If Yes, in which situations does the facility routinely perform screening testing for MRSA? (check all that apply)

- ☐ Surveillance testing at admission for all patients
- ☐ Surveillance testing at admission of high-risk patients (for example, admitted from long-term acute care [LTAC] or long-term care facility [LTCF], or dialysis patients)
- ☐ Surveillance testing at admission of patients admitted to high-risk setting (for example, ICU)
- ☐ Surveillance testing of pre-operative patients to prevent surgical site infections
- ☐ Other (specify): _____

*31. Does your facility have a policy to routinely use chlorhexidine bathing for any adult patients to prevent infection or transmission of MDROs at your facility?

☐ Yes ☐ No

*32. Does the facility have a policy to routinely use a combination of topical chlorhexidine AND an intranasal anti-staphylococcal agent (mupirocin, iodophor, or an alcohol based intranasal agent) for any adult patients to prevent healthcare-associated infections or reduce transmission of resistant pathogens?

☐ Yes ☐ No

Facility Water Management Program and Practices (WMP) (Completed with input from WMP team members.)

*33. Does your facility have a water management program (WMP) to prevent the growth and transmission of *Legionella* and other opportunistic waterborne pathogens (for example, *Pseudomonas*, *Acinetobacter*, *Burkholderia*, *Stenotrophomonas*, nontuberculous mycobacteria, and fungi)?

☐ Yes ☐ No

33a. If Yes, who is represented on your facility WMP team? (check all that apply):

- | | |
|--|--|
| ☐ Hospital Epidemiologist/Infection Preventionist | ☐ Compliance/Safety Officer |
| ☐ Hospital Administrator/Leadership | ☐ Risk/Quality Management Staff |
| ☐ Facilities Manager/Engineer | ☐ Infectious Disease Clinician |
| ☐ Maintenance Staff | ☐ Consultant |
| ☐ Equipment/Chemical Acquisition/Supplier | ☐ Laboratory Staff/Leadership |
| ☐ Environmental Services | ☐ Other (specify): _____ |

*34. Has your facility ever conducted an environmental assessment to identify where *Legionella* and other opportunistic waterborne pathogens could grow and spread in the facility water system (for example, piping infrastructure)? This may include a description of building water systems using text or basic diagram that maps all water supply sources, treatment systems, processing steps, control measures, and end-use points.

☐ Yes ☐ No

*35. Has your facility ever conducted a water infection control risk assessment (WICRA) to evaluate water sources, modes of transmission, patient susceptibility, patient exposure, and/or program preparedness? An example WICRA tool can be accessed at https://www.cdc.gov/healthcare-associated-infections/media/pdfs/water-assessment-tool-508.pdf?CDC_AAref_Val=https://www.cdc.gov/hai/pdfs/prevent/water-assessment-tool-508.pdf

☐ Yes ☐ No

Facility Water Management Program (WMP) (continued)

*36. Does your facility regularly monitor the following parameters in the building water system(s)?

Disinfectant (such as residual chlorine):

☐ Yes

☐ No

- ☐ If Yes, Does your facility have a plan for corrective actions when disinfectant(s) are not within acceptable limits as determined by the water management program?

☐ Yes

☐ No

- ☐ If Yes, where and how frequently does your facility monitor disinfectant(s)? (Check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points	☐	☐	☐	☐	☐	☐	☐
Cold Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Water Supply	☐	☐	☐	☐	☐	☐	☐
Hot Water Return	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Cold Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Hot Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Other (specify):	☐	☐	☐	☐	☐	☐	☐

Water temperature:

☐ Yes

☐ No

- ☐ If Yes, does your facility have a plan for corrective actions when water temperatures are not within acceptable limits as determined by the water management program?

☐ Yes

☐ No

- ☐ If Yes, where and how frequently does your facility monitor water temperature? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify):	N/A
Entry Points	☐	☐	☐	☐	☐	☐	☐
Cold Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Water Supply	☐	☐	☐	☐	☐	☐	☐
Hot Water Return	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Cold Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Hot Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Other (specify):	☐	☐	☐	☐	☐	☐	☐

Facility Water Management Program (WMP) (continued)

 Water pH: ☐ Yes ☐ No

- ☐ If Yes, does your facility have a plan for corrective actions when water pH is not within acceptable limits as determined by the water management program? ☐ Yes ☐ No

- ☐ If Yes, where and how frequently does your facility monitor water pH? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify): _____	N/A
Entry Points	☐	☐	☐	☐	☐	☐	☐
Cold Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Water Supply	☐	☐	☐	☐	☐	☐	☐
Hot Water Return	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Cold Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Hot Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Other (specify): _____	☐	☐	☐	☐	☐	☐	☐

 Heterotrophic plate count (HPC) testing: ☐ Yes ☐ No

- ☐ If Yes, does your facility have a plan for corrective actions when heterotrophic plate counts are not within acceptable limits as determined by the water management program? ☐ Yes ☐ No

- ☐ If Yes, where and how frequently does your facility perform HPC testing? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify): _____	N/A
Entry Points	☐	☐	☐	☐	☐	☐	☐
Cold Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Water Supply	☐	☐	☐	☐	☐	☐	☐
Hot Water Return	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Cold Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Hot Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Other (specify): _____	☐	☐	☐	☐	☐	☐	☐

Facility Water Management Program (WMP) (continued)

 Specific environmental *Legionella* testing:

☐ Yes

☐ No

☐ If Yes, does your facility have a plan for corrective actions when environmental tests for *Legionella* are not

within acceptable limits as determined by the water management program?

☐ Yes

☐ No

☐ If Yes, where and how frequently does your facility perform *Legionella* testing? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify): _____	N/A
Entry Points	☐	☐	☐	☐	☐	☐	☐
Cold Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Water Supply	☐	☐	☐	☐	☐	☐	☐
Hot Water Return	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Cold Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Hot Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Other (specify): _____	☐	☐	☐	☐	☐	☐	☐

 Specific environmental *Pseudomonas* testing:

☐ Yes

☐ No

☐ If Yes, does your facility have a plan for corrective actions when environmental tests for *Pseudomonas* are not within acceptable limits as determined by the water management program?

☐ If Yes, where and how frequently does your facility perform *Pseudomonas* testing? (check all that apply)

Location	Daily	Weekly	Monthly	Quarterly	Annually	Other (specify): _____	N/A
Entry Points	☐	☐	☐	☐	☐	☐	☐
Cold Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Potable Water Storage Tank(s)	☐	☐	☐	☐	☐	☐	☐
Hot Water Supply	☐	☐	☐	☐	☐	☐	☐
Hot Water Return	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Cold Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Representative Locations Throughout Hot Potable Building Water System(s)	☐	☐	☐	☐	☐	☐	☐
Other (specify): _____	☐	☐	☐	☐	☐	☐	☐

Facility Water Management Program (WMP) (continued)

*37. Does your facility water management program address measures to prevent transmission of pathogens from wastewater premise plumbing to patients?

- ☐ Yes ☐ No

Venous Thromboembolism

*38. Our facility uses the following venous thromboembolism (VTE) prevention practices (select all that apply, and select at least one)

- ☐ Our facility has a VTE prevention policy.
- ☐ Our facility has a multidisciplinary team that addresses VTE prevention.
- ☐ Our facility has a facility-wide VTE prevention protocol that includes VTE and bleeding risk assessments linked to clinical decision support for appropriate VTE prophylaxis options.
Our facility has embedded the VTE prevention protocol in admission order sets.
☐ Yes ☐ No
- ☐ Our facility provides VTE prevention education for clinicians annually.
- ☐ Our facility provides VTE prevention education for patients during their stay at our facility.
- ☐ Our facility performs audits to determine whether patients are on risk-appropriate VTE prophylaxis and provides clinician feedback for quality improvement.
- ☐ Our facility tracks the incidence of VTE that develops during a patient's stay at our facility (VTE not present on admission).
- ☐ Our facility does not use any of the above VTE prevention practices.

Prevention Practices

*39. Our facility utilizes a checklist or bundle for prevention of the following HAIs. (Check all that apply)

☐ CLABSI

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- ☐ Weekly
- ☐ Monthly
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other
- ☐ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

- ☐ Yes ☐ No ☐ Unknown

☐ CAUTI

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- ☐ Weekly
- ☐ Monthly
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other
- ☐ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

- ☐ Yes ☐ No ☐ Unknown

Prevention Practices (continued)

☐ **CDI LabID Event**

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- ☐ Weekly
- ☐ Monthly
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other
- ☐ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

- ☐ Yes ☐ No ☐ Unknown

☐ **MRSA Bacteremia LabID Event**

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- ☐ Weekly
- ☐ Monthly
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other
- ☐ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

- ☐ Yes ☐ No ☐ Unknown

☐ **COLO SSI**

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- ☐ Weekly
- ☐ Monthly
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other
- ☐ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

- ☐ Yes ☐ No ☐ Unknown

☐ **HYST SSI**

At what minimum, regular frequency is adherence to the checklist/bundle monitored/measured? Check one.

- ☐ Weekly
- ☐ Monthly
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other
- ☐ Not regularly monitored/measured

Is checklist/bundle adherence shared routinely with the clinical team?

- ☐ Yes ☐ No ☐ Unknown

☐ **None of the above**

Prevention Practices (continued)

*40. Did your facility (or any part of your facility) implement a **new** HAI prevention strategy **within the last calendar year**? *The following prevention strategies are examples from HAI prevention guidance documents (for example, 2022 SHEA/IDSA/APIC Practice Recommendations - Compendium of Strategies) and are supported by varying levels of evidence.

☐ Yes ☐ No ☐ Unknown

If yes, check all HAIs that apply.

☐ CLABSI (check all that apply)

- ☐ Documentation of daily assessment for central line necessity
- ☐ Bundling of central line insertion supplies to ensure efficient access to supplies in convenient location for aseptic central line insertion
- ☐ Use of chlorhexidine-containing dressings for central lines in patients >2 months of age
- ☐ Use of antiseptic-containing caps/covers for central line ports
- ☐ Use of antiseptic- or antimicrobial-impregnated central lines
- ☐ Other (specify): _____

☐ CAUTI (check all that apply)

- ☐ Documentation of daily assessment for indwelling urinary catheter necessity
- ☐ Bundling of indwelling urinary catheter insertion supplies in convenient location to ensure efficient access to supplies for aseptic indwelling urinary catheter insertion
- ☐ Implementation of a nurse-driven indwelling urinary catheter removal protocol or implementation of automatic stop orders requiring review of current indications and renewal of order for continuation of an indwelling urinary catheter
- ☐ Process for consideration of bladder management alternatives to indwelling urethral catheterization in selected patients when appropriate
- ☐ Incorporation of appropriate indications for urine culturing into electronic medical record system, as part of standardized institutional protocol for diagnostic stewardship
- ☐ Other (specify): _____

☐ CDI LabID Event (check all that apply)

- ☐ Use of an EPA-registered (EPA List K) sporicidal disinfectant for environmental cleaning/disinfection or use of additional disinfection of CDI patient rooms with no-touch technologies (for example, UV light disinfection)
- ☐ Establish process in collaboration with environmental services to routinely assess adequacy of room cleaning
- ☐ Restriction of antibiotics with the highest risk for CDI (for example, fluoroquinolones, carbapenems, 3rd and 4th generation cephalosporins)
- ☐ Implementation of laboratory protocol to ensure testing of only appropriate specimens (for example, unformed stool) or a clinical decision support system to help reduce unnecessary *Clostridioides difficile* testing
- ☐ Implementation of laboratory alert system to immediately report positive *C. difficile* results to clinical care providers and infection control personnel
- ☐ Other (specify): _____

Prevention Practices (continued)

- ☐ **MRSA Bacteremia LabID Event (check all that apply)**
 - ☐ Process for monitoring and validation of compliance of daily CHG bathing in applicable patient populations (for example, adult ICU patients)
 - ☐ Process for multidisciplinary review of occurrences of hospital-onset MRSA bacteremia (for example, root cause analysis) to assess modifiable risk factors
 - ☐ Establish process in collaboration with environmental services to routinely assess adequacy of room cleaning
 - ☐ Implementation of a laboratory-based alert system that immediately notifies clinical care providers and infection control personnel of new MRSA-colonized and/or MRSA-infected patients
 - ☐ Implementation of universal gowns and gloves upon entry into adult ICU patient rooms, regardless of MRSA status
 - ☐ Other (specify): _____
- ☐ **COLO SSI (check all that apply)**
 - ☐ Use of combination of parenteral and oral antimicrobial prophylaxis with mechanical bowel prep, unless contraindicated, prior to elective colorectal surgery
 - ☐ Monitor compliance with antimicrobial prophylaxis guidelines being appropriately provided
 - ☐ Use of impervious plastic wound protectors for GI surgery
 - ☐ Implementation of preoperative warming for at least 30 minutes prior to surgery to prevent intraoperative hypothermia
 - ☐ Use of negative pressure dressings in patients who may benefit
 - ☐ Use of antiseptic-impregnated sutures
 - ☐ Other (specify): _____
- ☐ **HYST SSI (check all that apply)**
 - ☐ Use antiseptic-containing preoperative vaginal preparatory agents for patients undergoing elective hysterectomy
 - ☐ Monitor compliance with antimicrobial prophylaxis guidelines being appropriately provided
 - ☐ Implementation of preoperative warming for at least 30 minutes prior to surgery to prevent intraoperative hypothermia
 - ☐ Use of negative pressure dressings in patients who may benefit
 - ☐ Use of antiseptic-impregnated sutures
 - ☐ Other (specify): _____

*41. Does your facility provide training and/or education on HAI prevention to healthcare personnel as it relates to their role?

☐ Yes ☐ No ☐ Unknown

If yes, check all HAIs that apply.

- ☐ **CLABSI**
At what frequency is training or education is provided? Check all that apply.
 - ☐ Upon hire
 - ☐ When new product or processes are implemented
 - ☐ Quarterly
 - ☐ Yearly
 - ☐ As needed
 - ☐ Other

Prevention Practices (continued)

☐ CAUTI

At what frequency is training or education is provided? Check all that apply.

- ☐ Upon hire
- ☐ When new product or processes are implemented
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other

☐ CDI LabID Event

At what frequency is training or education is provided? Check all that apply.

- ☐ Upon hire
- ☐ When new product or processes are implemented
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other

☐ MRSA Bacteremia LabID Event

At what frequency is training or education is provided? Check all that apply.

- ☐ Upon hire
- ☐ When new product or processes are implemented
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other

☐ COLO SSI

At what frequency is training or education is provided? Check all that apply.

- ☐ Upon hire
- ☐ When new product or processes are implemented
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other

☐ HYST SSI

At what frequency is training or education is provided? Check all that apply.

- ☐ Upon hire
- ☐ When new product or processes are implemented
- ☐ Quarterly
- ☐ Yearly
- ☐ As needed
- ☐ Other